大连市知识产权运营服务体系建设

大连市高价值知识产权组合

项目申报书

（2020年度）

项目名称：

申报单位： 公章

单位地址：

项目负责人： 电话：

项目联系人： 电话：

**大连市知识产权局编制**

**二○二○年**

信用承诺书

我单位已知晓《大连市知识产权运营服务体系建设专项资金管理办法》，并郑重承诺如下：

1. 我单位近三年信用状况良好，无严重失信行为；
2. 向大连市知识产权局提供的各类资料，均符合国家法律法规和政策要求，真实、有效，无任何伪造修改和虚假成分；
3. 严格遵守《大连市知识产权运营服务体系建设专项资金管理办法》等有关规定，为项目实施提供承诺的条件；
4. 如有虚假和失信行为，我单位及相关责任人员愿意承担相关法律责任，严重失信的，同意有关主管部门将相关失信信息在相关政府门户网站公开。

项目申报责任人（签名）：

合作单位责任人（签名）：(无合作单位可不填)

申报单位负责人（签名）（公章）

年 月 日

1. 申请单位基本信息

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| **申请**  **单位** | 单位名称 |  | | | | | | |
| 地址 |  | | | | | | |
| 法定代表人  或负责人 |  | | | | | | |
| 联系电话 |  | | 传真号码 | |  | | |
| **项目**  **负责人** | 姓 名 |  | | 职 务 | |  | | |
| 固定电话 |  | | 手 机 | |  | | |
| **项目**  **联系人** | 姓 名 |  | | 手 机 | |  | | |
| 固定电话 |  | | 传真号码 | |  | | |
| 邮 编 |  | | E-mail | |  | | |
| **单位**  **概况** | 单位性质 | 总人数／  研发人数 | 优势示范企业 | | 是否贯标 | | 单独设立知识产权部门 | |
| 国有□  国有控股□  民营□  其他□ |  | 是 □  否 □  （仅企业填写） | | 有 □  无 □ | | 有 □  无 □ | |
| **申请**  **单位**  **情况** | （简要介绍单位基本情况，包括所属行业、领域中的位置、主导产品的市场占有率及市场销售排名，以及知识产权工作基础、创新基础、产学研合作等情况） | | | | | | | |
| **合作**  **单位（一）** | 单位名称 |  | | | | | |
| 法定代表人  或负责人 |  | | | | | |
| 联系人 |  | | 职务 | |  | |
| 联系电话 |  | | E-mail | |  | |
| **合作**  **单位**  **情况** | （企业、高校院所或实验室及技术中心简要介绍单位基本情况，包括所属行业、领域中的位置、主导产品的市场占有率及市场销售排名，以及知识产权工作基础、创新基础、产学研合作等情况，字数在500字左右。） | | | | | | |
| **合作**  **单位（二）** | 单位名称 |  | | | | | |
| 法定代表人  或负责人 |  | | | | | |
| 联系人 |  | | 职务 | |  | |
| 联系电话 |  | | E-mail | |  | |
| **合作**  **单位**  **情况** | （服务机构主要填写机构建设、现有工作人员、工作管理制度、业务开展、收支情况等，字数在500字左右。） | | | | | | |
| **组合（中心）培育提供的机制和制度保障等** | 包括专利全流程管理体系建立（建立全流程管理规范、管理平台等），研发专利管理机制，人员团队等保障机制及措施，知识产权权益分配制度，专利分析、导航、布局、挖掘情况等） | | | | | | |

1. 知识产权组合（中心）情况表

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| 组合（中心）名称 | | |  | | | | | | | | | | | | |
| 所属技术领域 | | |  | | | | | | | | | | | | |
| 主要产品 | | | 产品名称（高校科研院所不填） | | | | | | | 相应专利数量  （发明／实用  新型／外观） | | | | | |
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| 申请资助额度 | | | 万元 | | | | | | | | | | | | |
| **有效发明专利台帐(不少于50件)** | | | | | | | | | | | | | | | |
| 序号 | 专利号 | | 专利名称 | | | | 授权日 | | | | | | 法律状态 | | |
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| **PCT专利申请（授权）台账(不少于10件)** | | | | | | | | | | | | | | | |
| 序号 | | 专利号 | | | 专利名称 | | | | 专利  权人 | | | 法律  状态 | | 申请  日期 | 授权  日期 |
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| **其他有效知识产权台账** | | | | | | | | | | | | | | | |
| 专利号/注册号等 | | 名称 | | | | 权利人 | | | | | 法律  状态 | | | 类型 | |
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| 专利奖  获奖情况 | | 市专利奖 | | 省专利奖 | | | | 国家专利奖 | | | | | | | |
|  | |  | | | |  | | | | | | | |
| 科技奖  获奖情况 | | 市科技奖 | | 省科技奖 | | | | 国家科技奖 | | | | | | | |
|  | |  | | | |  | | | | | | | |
| 其他奖项 | | 市级 | | 省级 | | | | 国家级 | | | | | | | |
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| **知识产权情况**  **（件数）** | |  | | | | | | | 总量 | | | | | | | | 发明 | | | | | | 实用新型 | | | | | | | | | | | | 外观设计 | | | | | |
| 申请 | | | | | | |  | | | | | | | |  | | | | | |  | | | | | | | | | | | |  | | | | | |
| 授权 | | | | | | |  | | | | | | | |  | | | | | |  | | | | | | | | | | | |  | | | | | |
| 有效 | | | | | | |  | | | | | | | |  | | | | | |  | | | | | | | | | | | |  | | | | | |
| PCT申请总量 | | | | | | | | 国（境）外专利授权总量 | | | | | | | | | | | | | | | | | 利用专利技术制定或参与制定国家或行业标准数量 | | | | | | | | | | | | | |
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| 国内 商标 | | | 驰名  商标 | | | | | 国际  商标 | | | | | | 版权 | | | | | 计算机软件著作权 | | | | | | 植物新品种权 | | | | | 集成电路布图设计 | | | | | | | 商业  秘密 | |
|  | | |  | | | | |  | | | | | |  | | | | |  | | | | | |  | | | | |  | | | | | | |  | |
| **知识产权**  **运用** | | 知识产权产业化运用 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 专利转化与实施 | | | | | | | | | | | | | | | | | | | | | | | | 其他知识产权转化与实施 | | | | | | | | | | | | | | |
| 数量（件） | | | | | | | 占有效专利总量的比 | | | | | | | | 产生利润（万元） | | | | | | | | | 数量  （件） | | | | | | | | | 产生利润  （万元） | | | | | |
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| 知识产权资本运营（件数／万元） | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 专利许可数／涉及专利数／额度 | | | | | 专利转让数／额度 | | | | | | | 专利质押贷款数／涉及专利数／额度 | | | | | | | 其他知识产权许可数／额度 | | | | | | | | 其他知识产权转让数／额度 | | | | | | | | 其他知识产权质押贷款数／额度 | | | |
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| **相关效益数据指标** | | 经济效益（万元） | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 年份 | | | | 销售总额 | | | | | 专利产品  销售额 | | | | | | | | 专利产品销售额占总销售额的比 | | | | | 利税总额 | | | | | | | 专利产品利税额 | | | | | | | 专利产品利税占总利税的比 | | |
| 2017年 | | | |  | | | | |  | | | | | | | |  | | | | |  | | | | | | |  | | | | | | |  | | |
| 2018年 | | | |  | | | | |  | | | | | | | |  | | | | |  | | | | | | |  | | | | | | |  | | |
| 2019年 | | | |  | | | | |  | | | | | | | |  | | | | |  | | | | | | |  | | | | | | |  | | |
| 近三年  合计 | | | |  | | | | |  | | | | | | | |  | | | | |  | | | | | | |  | | | | | | |  | | |
| 社会效益 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 年 份 | | | | 具有专利  技术的主导产品名称 | | | | | | 国内市场（万元） | | | | | | | | | | | | | | | | 国际市场（万美元） | | | | | | | | | | | | |
| 占有率 | | | | | | 销售额 | | | | 利润 | | | | | | 销往  国家 | | | | | 销售额 | | | | | | | 创汇 |
| 2017年 | | | |  | | | | | |  | | | | | |  | | | |  | | | | | |  | | | | |  | | | | | | |  |
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| 2018年 | | | |  | | | | | |  | | | | | |  | | | |  | | | | | |  | | | | |  | | | | | | |  |
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| 2019年 | | | |  | | | | | |  | | | | | |  | | | |  | | | | | |  | | | | |  | | | | | | |  |
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| 近三年  合计 | | | | —— | | | | | | —— | | | | | |  | | | |  | | | | | | —— | | | | |  | | | | | | |  |
| **知识产权投入情况** | | 年 份 | | | | 研发投入  （万元） | | | | | | | | | 知识产权投入  （万元） | | | | | | | | | 研发投入占总销售额的比 | | | | | | | | | | 知识产权投入占研发投入的比 | | | | | | |
| 2017年 | | | |  | | | | | | | | |  | | | | | | | | |  | | | | | | | | | |  | | | | | | |
| 2018年 | | | |  | | | | | | | | |  | | | | | | | | |  | | | | | | | | | |  | | | | | | |
| 2019年 | | | |  | | | | | | | | |  | | | | | | | | |  | | | | | | | | | |  | | | | | | |
| 近三年合计 | | | |  | | | | | | | | |  | | | | | | | | |  | | | | | | | | | |  | | | | | | |
| **知识产权**  **管理基础** | | 知识产权贯标  通过认证□ 开展中□ 未开展□ | | | | | | | | | | | | | | | | | | | 合作的知识产权服务机构名称 | | | | | | | | | | |  | | | | | | | | |
| 知识产权管理机构 | | | | | | | | | | | | | | | | | | | 知识产权管理制度 | | | | | | | | | | | | | | | | | | | |
| 架构形式 | | | | | | | | 负责人职务 | | | | | | | | | | | 管理制度 | | | | | | | | | | | 运行情况 | | | | | | | | |
| 独立机构 □  部门下设机构 □ | | | | | | | |  | | | | | | | | | | |  | | | | | | | | | | |  | | | | | | | | |
| 专职管人员  人数 | | | | | 内审人员  人数 | | | | | | | 知识产权  工程师培训合格人数 | | | | | | | 具有知识  产权工程师  职称人数 | | | | | | | | 具有专利代理人资质  人数 | | | | | | | | 具有律师  资质人数 | | | |
|  | | | | |  | | | | | | |  | | | | | | |  | | | | | | | |  | | | | | | | |  | | | |
| **知识产权案例** | | 有无知识产权纠纷 | | | | | | | | | | | | 纠纷名称 | | | | | | | | | | | | | | | 胜诉或和解 | | | | | | | | | | | |
| 有 □  无 □ | | | | | | | | | | | |  | | | | | | | | | | | | | | | 胜诉 □ 和解 □ | | | | | | | | | | | |
| **主要负责和参与人员** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 序号 | 姓名 | | 性 别 | 年 龄 | | | | 专 业 | | | | | 学 历 | | | | | | | 职 称 | | | | | 职 务 | | | | | 所在单位 | | | | | | 项目中拟  承担的工作 | | | | |
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| 说明：1. 专利号请以规范的13位数字表示，中间不要有空格；  2. 申请台账法律状态填写内容：（1）发明专利申请写明具体阶段，如公开、初审、实审、撤回、复审、无效等阶段；（2）如已授权，填写授权；  3. 授权台账，请填所有授权的专利，法律状态填写内容：有效或无效，若无效请在备注栏填写无效原因；如有专利转化运用情况，请在备注栏填写许可、转让、质押等相应内容；  4. 表格不够可续页；  5. 数据统计截止日：2020年8月31日。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

联合申报单位合作协议

（请清晰界定合作各方在高价值专利组合培育工作中的项目组织机构及人员安排、合作机制及任务分工、所申请的市财政支持经费的分配计划及项目实施保障等事项。）

申报单位1（签章）：

申报单位2（签章）：

申报单位3（签章）：

（包括全部申报单位）

                     年　　月　　日

|  |
| --- |
| 区市县（先导区）知识产权管理部门意见：    （公章）    年 月 日 |
| 市知识产权局  形式审查人意见：    （签字）  年 月 日  复核人意见：    （签字）  年 月 日 |